



MAY 07 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/084,245	02/27/2002	Michael J. Kennedy	100/14910

021569
CALIPER TECHNOLOGIES CORP
605 FAIRCHILD DRIVE
MOUNTAIN VIEW, CA 94043

CONFIRMATION NO. 2024

FORMALITIES LETTER



OC00000007655287

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$156.
 - \$72 for 4 total claims over 20.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1026.**

COPY OF PAPERS
ORIGINALLY FILED

Phuong Bui
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10084245

/08/2002	YPOLITE1	00000034	030177	740.00	CH
				84.00	CH
				72.00	CH
				130.00	
	FC:101				
	FC:102				
	FC:103				
	FC:105				

MAY 07 2002

(Modified) PTO/SB/24 (12-97)

For use through 9/30/00 OMB 0651-0031

#3

PATENT & TRADEMARK OFFICE

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/084,245
		Filing Date	February 27, 2002
		First Named Inventor	Kennedy
		Group Art Unit	
		Examiner Name	
Total number of pages This Submission		Attorney Docket No.	100/14910

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> No Fee Required <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Info. Discl. Stmt. (2 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i> <div style="border: 1px solid black; padding: 2px; display: inline-block;"> Declaration Copy of Notice of Missing Parts Return Receipt Postcard </div>	
			<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>
			<input type="checkbox"/> Drawings
			<input type="checkbox"/> Licensing-related Papers
			<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
			<input type="checkbox"/> To Convert a Provisional Application
			<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
			<input type="checkbox"/> Terminal Disclaimer
			<input type="checkbox"/> Small Entity Statement
			<input type="checkbox"/> Request for Refund

Authorization to Charge Deposit Account

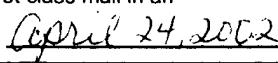
Please charge Deposit Account No. 03-0177 for any additional fees associated with this paper or during the pendency of this application.

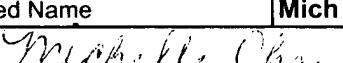
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Andrew L. Filler	Reg. No.	44,107
Signature			
Date	4-24-02		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: 

Typed or Printed Name	Michelle Chan
Signature	
Date:	April 24, 2002

COPY OF PAPERS
ORIGINALLY FILED

MAY 8 2002

PTO/SB/17

FEES TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision

Application claims small entity status. See 37 CFR 1.27

AMOUNT OF PAYMENT	\$1,026	Attorney Docket No.	100/14910
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)		
Check	Credit Card	Money Order	Other	

Deposit Acct. No.	03-0177
Deposit Acct. Name	Caliper Technologies Corp.

The Commissioner is authorized to: (Check all that apply)

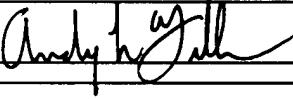
Charge the fees indicated below

Charge any additional fee(s) during the pendency of this application

Credit any overpayments

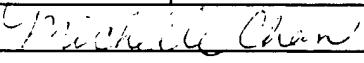
FEE CALCULATION					
BASIC FILING FEE					
Large Entity Fee	Entity Fee	Small Entity Fee	Fee Description	Fee Paid	
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)			
101 740	201 370	370	Utility filing fee	740	
106 330	206 165	165	Design filing fee		
107 510	207 255	255	Plant filing fee		
108 740	208 370	370	Reissue filing fee		
114 160	214 80	80	Provisional filing fee		
SUBTOTAL (1)				740	
EXTRA CLAIM FEES					
Total claims	24	Extra claims 20** = 4	Fee from below 18 x	=	Fee Paid 72
Depend					
4	-3** = 1		84	=	84
Multiple Dependent					
Large Entity Fee	Entity Fee	Small Entity Fee	Fee Description	Fee Paid	
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)			
103 18	203 9	9	Claims in excess of 20		
102 84	202 42	42	Independent claims in excess of 3		
104 280	204 140	140	Multiple dependent claims, if new		
109 84	209 42	42	**Reissue independent claims over original patent		
110 18	210 9	9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)				156	
or number previously paid, if greater; For Reissues, see above					
3. ADDITIONAL FEES					
Large Entity Fee	Entity Fee	Small Entity Fee	Fee Description	Fee Paid	
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)			
105 130	205 65	65	Surcharge - late filing fee or oath	130	
127 50	227 25	25	Surcharge - late provisional filing cover sheet		
139 130	139 130	130	Non-English specification		
147 2520	147 2520	2520	For filing a request for ex parte reexamination		
112 920*	112 920*	920*	Requesting publication of SIR prior to Examiner action		
113 1840*	113 1840*	1840*	Requesting publication of SIR after Examiner action		
115 110	215 55	55	Extension for response within first month		
116 400	216 200	200	Extension for response within second month		
117 920	217 460	460	Extension for response within third month		
118 1440	218 720	720	Extension for response within fourth month		
128 1960	228 980	980	Extension for response within fifth month		
119 320	219 160	160	Notice of Appeal		
120 320	220 160	160	Filing a brief in support of an appeal		
121 280	221 140	140	Request for oral hearing		
138 1510	138 1510	1510	Petition to institute a public use proceeding		
140 110	240 55	55	Petition to revive - unavoidable		
141 1280	241 640	640	Petition to revive - unintentional		
142 1280	242 640	640	Utility issue fee (or reissue)		
143 460	243 230	230	Design issue fee		
144 620	244 310	310	Plant issue fee		
122 130	122 130	130	Petitions to the Commissioner		
123 50	123 50	50	Petitions related to provisional applications		
126 180	126 180	180	Submission of Information Disclosure Stmt		
581 40	581 40	40	Recording each patent assignment per property (times number of properties)		
146 740	246 370	370	Filing a submission after final rejection (37 CFR 1.129(a))		
149 740	249 370	370	For each additional invention to be examined (37 CFR 1.129(b))		
179 740	279 370	370	Request for Continued Examination (RCE)		
169 900	169 900	900	Request for expedited examination of a design application		
195 300	195 300	300	Publication Fee		
SUBTOTAL (3)				\$130	

*Reduced by Basic Filing Fee Paid

SUBMITTED BY				Complete (if applicable)	
ped or inted Name	Andrew L. Filler			Reg. Number	44,107
Signature		Date	4-24-02	Deposit Account User ID	03-0177

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: April 24, 2002

typed or Printed Name	Michelle Chan	Date	April 24, 2002
Signature		Date	April 24, 2002